

# **European Administration**

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"Vitam impendere vero"



# EUROPEAN ADMINISTRATION

# **Chapter III** Direct administration of the EU

The slideshow is designed to help the understanding of the reading material of 4x45 minutes of studying!

I. Organisation of direct administrative level 1.1. The main actor of direct administration: the European Commission 1.2. The tasks of direct administration 1.3. The agencies II. Civil service of direct administration 2.1. Basic recruitment of officials 2.2. Type of positions III. Procedure of direct administration 3.1. Definition of administrative procedure and administrative procedural law 3.2. Administrative procedural law of direct level of the EU

# I. ORGANISATION OF DIRECT ADMINISTRATION COMMISSION & AGENCIES

# The Commission as the head of administration

1.Draft and initiate legislation

2. Issue executive norms for uniform implementation of EU legislation

3. Tasks related to the enforcement of EU law 5. Budget administration

4. Organisation management tasks

Background – selfpreservation



## 1. THE COMMISSION IS DRAFTING EU LEGISLATION

#### Prepare impact assessments

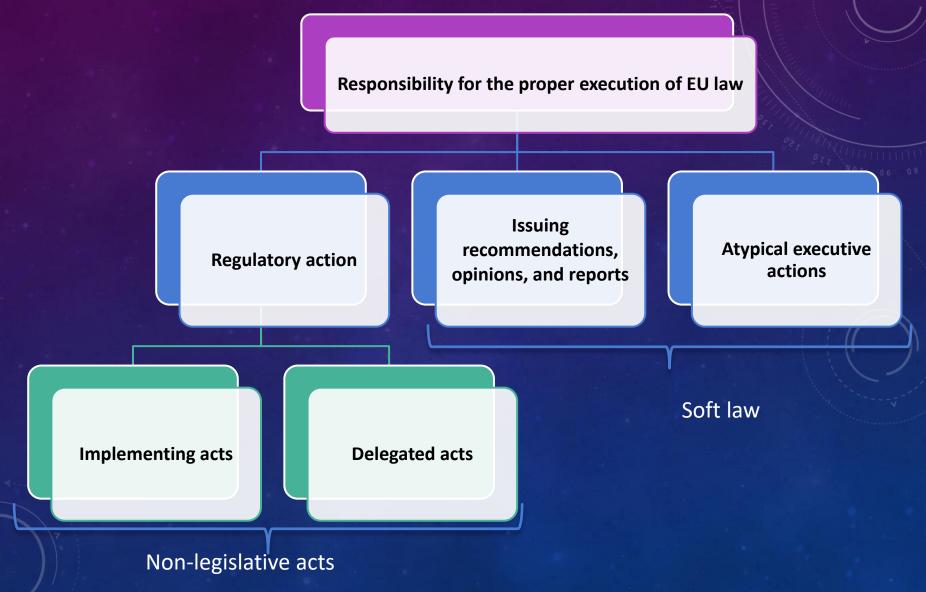
#### Consults interested parties

(non-governmental organisations, local authorities and representatives of industry and civil society)

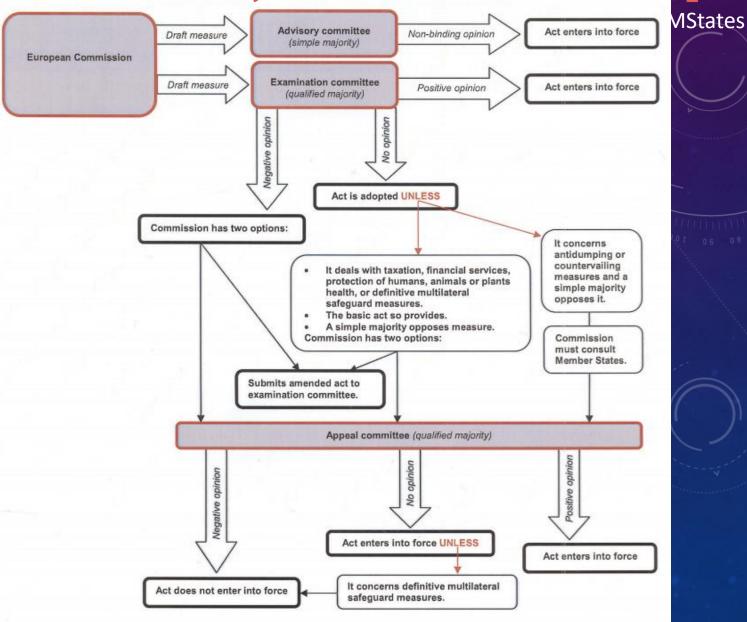
#### **Public consultations**

#### National parliaments

The Commission proposes new initiatives 2. The Commission issues executive norms for uniform implementation of EU legislation



#### IMPLEMENTING ACTS (ARTICLE 291 TFEU)



(i) general scope
 (ii) potentially significant impact

Appendix, "Implementing Lisbon: what's new in comitology?", EPC Policy Brief, April 2011. © 2011, Corina STRATULAT and Elisa MOLINO.

## COMITOLOGY COMMITTEES

Regulation No 182/2011

= ASSIST THE COMMISSION IN EXECUTING ITS IMPLEMENTING POWERS BY GIVING AN OPINION ON DRAFT IMPLEMENTING MEASURES BEFORE THEY ARE ADOPTED

Commission departments submit draft implementing acts to the "*comitology committees*" in order to <u>obtain their opinion</u>

> they are set up on the basis of regulations, directives or decisions by the EU legislator (EP&C)

> > **Members:** representatives from all EU Member States chaired by a Commission official + adopts its own rules of procedure

2 types

#### TABLE I — TOTAL NUMBER OF COMMITTEES

Policy sector	2017	2018
AGRI (Agriculture and Rural Development	12	11
BUDG (Budget)	2	2
CLIMA (Climate Action)	5	5
CNECT (Communications Networks, Content and Technology)	7	7
DEVCO (International Cooperation and Development)	5	5
DIGIT (Informatics)	1	1
EAC (Education and Culture)	2	2
ECFIN (Economic and Financial Affairs)	1	1
ECHO (Humanitarian Aid and Civil Protection)	2	2
EMPL (Employment, Social Affairs and Inclusion)	5	5
ENER (Energy)	13	13
ENV (Environment)	30	30
ESTAT (Eurostat)	4	4
FISMA (Financial Stability, Financial Services and Capital markets Union)	8	8
FPI (Service for Foreign Policy Instruments)	4	4
GROW (Internal Market, Industry, Entrepreneurship and SMEs)	42	44
HOME (Migration and Home Affairs)	13	13
JUST (Justice and Consumers)	23	25
MARE (Maritime Affairs and Fisheries)	3	3
MOVE (Mobility and Transport)	31	31
NEAR (Neighbourhood and Enlargement Negotiations)	3	3
OLAF (European Anti-Fraud Office)	1	1
REGIO (Regional and Urban Policy)	1	1
RTD (Research and Innovation)	5	7
SANTE (Health and Food Safety)	17	17
SG (Secretariat-General)	3*	3*
TAXUD (Taxation and Customs Union)	11	13
TRADE (Trade)	13	14
TOTAL:	267	275

\* Including the appeal committee (for the needs of the comitology register, the appeal committee is registered as a committee under the responsibility of the Secretariat-General; in practice, it is managed by all services concerned).

https://ec.europa.eu/transparency/regcomitology/docs/annual\_report\_2018\_en.pdf

#### TABLE IV - NUMBER OF OPINIONS AND IMPLEMENTING ACTS/MEASURES ADOPTED

	Opinions <sup>15</sup>			nting Acts pted	Regulatory procedure with scrutiny - measures adopted		
	2017	2018	2017	2018	2017	2018	
AGRI	142	146	137	145	0	5	
BUDG	7	4	5	6	0	0	
CLIMA	11	14	3	14	4	1	
CNECT	19	19	18	15	0	0	
DEVCO	53	67	54	66	0	0	
DIGIT	1	1	1	1	0	0	
EAC	5	6	4	6	0	0	
ECFIN	3	2	3	2	0	0	
ECHO	7	6	6	7	0	0	
EMPL	7	4	5	5	1	0	
ENER	8	7	7	4	0	0	
ENV	31	31	16	14	18	11	
ESTAT	9	9	4	8	6	3	
FISMA	22	18	7	5	0	6	
FPI	4	5	2	5	0	0	
GROW	104	77	83	53	24	15	T
HOME	76	74	68	61	0	0	Р
JUST	10	10	6	8	0	0	
MARE	20	17	17	15	0	0	
MOVE	59	61	50	57	4	11	
NEAR	74	86	74	86	0	0	A
OLAF	0	0	0	0	0	0	B
REGIO	2	4	0	2	0	0	c
RTD	248	225	176	159	0	0	D
SANTE	803	629	753	603	56	38	D
SG*	16	12	17	11	0	0	E
TAXUD	79	56	85	57	0	0	E
TRADE	86	59	86	52	0	0	E
TOTAL:	1906	1633	1687	1456	113	90	E



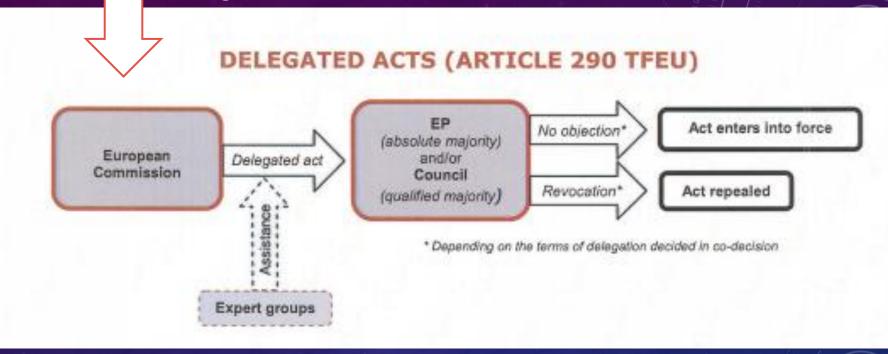
#### <u>TABLE V — Number of measures adopted according to the regulatory</u> procedure with scrutiny

	Regulatory procedure with scrutiny - measures adopted	European Parliament opposed adoption of draft measures	Council opposed adoption of draft measures
AGRI	5	0	0
BUDG	0	0	0
CLIMA	1	0	0
CNECT	0	0	0
DEVCO	0	0	0
DIGIT	0	0	0
EAC	0	0	0
ECFIN	0	0	0
ЕСНО	0	0	0
EMPL	0	0	0
ENER	0	0	0
ENV	11	0	0
ESTAT	3	0	0
FISMA	6	0	0
FPI	0	0	0
GROW	15	0	0
HOME	0	0	0
JUST	0	0	0
MARE	0	0	0
MOVE	11	0	0
NEAR	0	0	0
OLAF	0	0	0
REGIO	0	0	0
RTD	0	0	0
SANTE	38	1	0
SG	0	0	0
TAXUD	0	0	0
TRADE	0	0	0
TOTAL:	90	1	0

\* Including opinions delivered by the appeal committee and adopted acts.

#### Commission's powers are delegated (limited) by

the legislators



- o Type A individuals appointed in a personal capacity, acting independently and expressing their own personal views.
- o Type B **individuals** appointed to represent a common interest organisations. They may be proposed by stakeholder organisations.
- o Type C **organisations** (companies, associations, NGOs, trade unions, universities, research institutes, law firms and consultancies)
- o Type D Member States' authorities
- o Type E **other public entities**, such as authorities from non-EU countries (incl.candidate countries), EU bodies, offices or agencies, and international organisations.

#### 2016

- Opinion 03/2016 on the evaluation and review of the ePrivacy Directive 🔀 (971 kB) 💬 WP 240
- Opinion 02/2016 on the publication of Personal Data for Transparency purposes in the Public Sector (2426 kB) WP 239
- Opinion 01/2016 on the EU U.S. Privacy Shield draft adequacy decision 🔂 (613 kB) 💬 WP 238
- Working Document 01/2016 on the justification of interferences with the fundamental rights to privacy and data protection through surveillance measures when transferring personal data (European Essential Guarantees) (1) (408 kB) (- WP 237)
- Statement on the 2016 action plan for the implementation of the General Data Protection Regulation (GDPR) (182 kB) - WP 236
- Work Programme 2016-2018 😰 (252 kB) 💬 WP 235

#### 2015

- Update of Opinion 8/2010 on applicable law in light of the CJEU judgement in Google Spain (2) (343 kB)
   WP 179 update
- Guidelines for Member States on the criteria to ensure compliance with data protection requirements in the context of the automatic exchange of personal data for tax purposes 🔀 (444 kB) 💬 WP 234
- Opinion 03/2015 on the draft directive on the protection of individuals with regard to the processing of personal data by competent authorities for the purposes of prevention, investigation, detection or prosecution of criminal offences or the execution of criminal penalties, and the free movement of such data (382 kB) WP 233
- Statement on the implementation of the judgement of the Court of Justice of the European Union of 6 October 2015 in the Maximilian Schrems v Data Protection Commissioner case (C-362-14) (1) (74 kB)
- Opinion 02/2015 on C-SIG Code of Conduct on Cloud Computing 📆 (349 kB) 💬 WP 232
- Opinion 01/2015 on Privacy and Data Protection Issues relating to the Utilisation of Drones (2) (456 kB)
   OP 231

https://ec.europa.eu/justice/article-29/documentation/opinionrecommendation/index\_en.htm The Commssion issues recommendations , opinions, and reports, etc.

https://ec.europa.eu /newsroom/article2 9/newsoverview.cfm

# **Atypical executive actions**

# Voluntary based harmonisation

## standardisation

- CEN- European Committee for Standardisation
- Cenelec European Committee for Electrotechnical Standardisation,
- ETSI- European Telecommunications
   Standards Institute

# Self-regulation

# European standardisation organisations (ESOs)

# 3. Tasks related to the enforcement of EU law

#### "Guardian of the Treaties"

## a) Single case decision-making

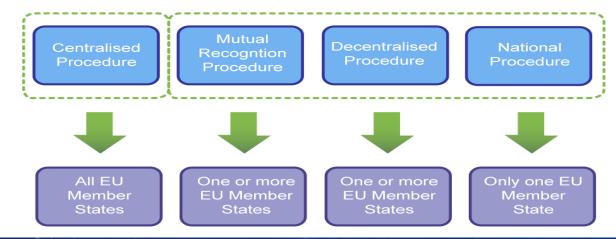
b) Coordination of cooperation

## c) Supervision of enforcement

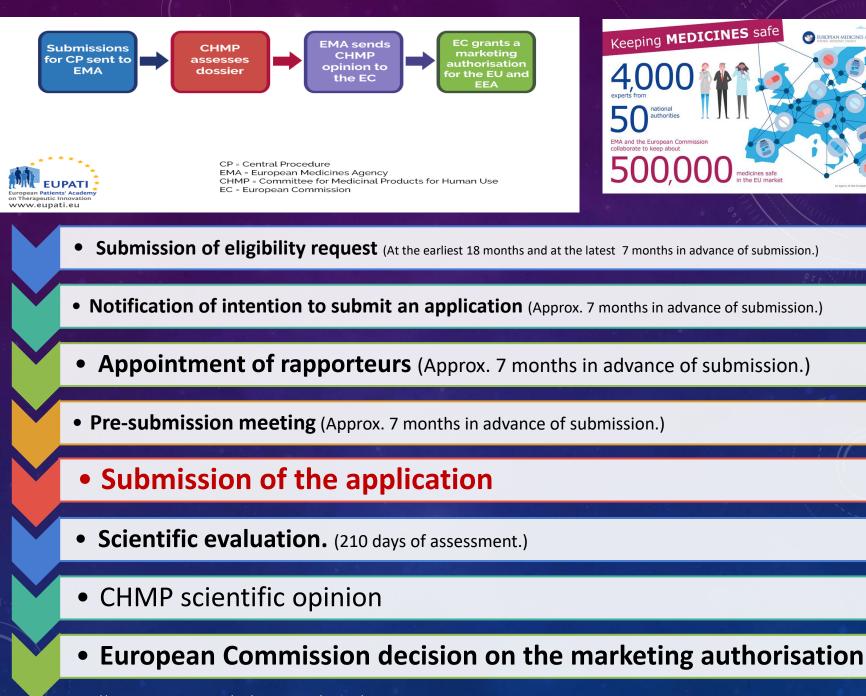
# a) Single case decision-making

Antitrust Policy	Merger Control	State Aid Control
<ul> <li>Preventing cartels and other anticompetitive agreements</li> <li>Preventing abuses of dominant position</li> </ul>	<ul> <li>Preventing anticompetitive mergers and acquisitions</li> </ul>	• Limiting distortions to competition and trade resulting from state subsidies; allowing aid when it is in the common (EU) interest

#### EU marketing authorisation procedures



> Authority power - only competent authority > Decisionmaking in single case Obligatory and enforceable decision



https://www.ema.europa.eu/en/documents/leaflet/applying-european-union-marketing-authorisation-medicinal-products-human-use en.pdf

EUROPEAN MEDICINES AGEN

# b) Coordination of cooperation

the activity which organise the harmonious functioning of parts for effective results



NO! hierarchical management tools

information management

generating or gathering of information serving a range of purposes

## c) Supervision of enforcement

# "Guardian of the Treaties"

Administrative

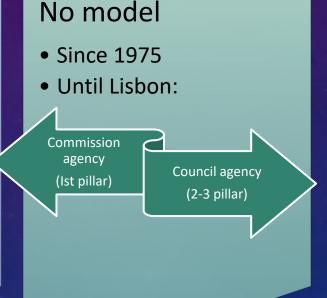
Political

# Judicial

# The agencies

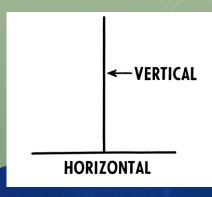
#### Specialised bodies

- Established by secondary legislation
- exercise public authority
- institutionally separate from the EU institutions
- legal personality
- No de iure regulatory power

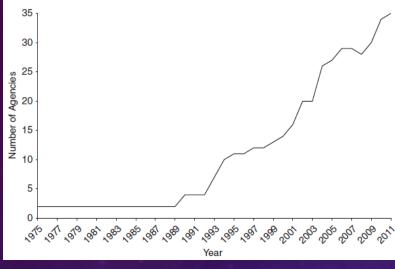


# Not autonomous and independent

 integrate national administrative bodies into their operations



European regulatory agencies



"agentification"

advanced expertise as that their decisions are based on purely technical considerations of very high quality and are not influenced by political considerations

## Types of agencies

Impossible to draw exclusive categories!

a) Decisionmaking agency b) Quasyregulatory agency

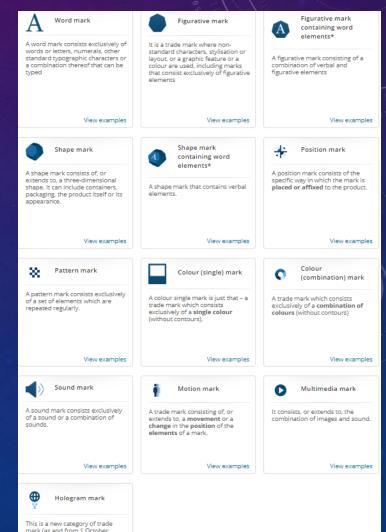
c) Information and coordination agencies

#### Alicante, Spain

OEEL

# a) Decision-making agency

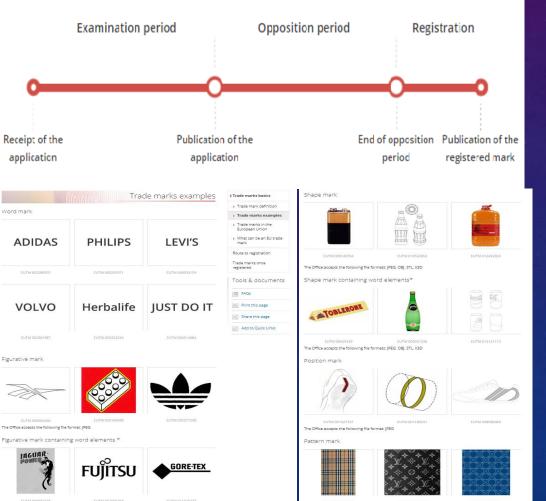
power to make individualized decisions that are binding on third parties => p. ex. register a trademark (signs used in trade to identify products.)



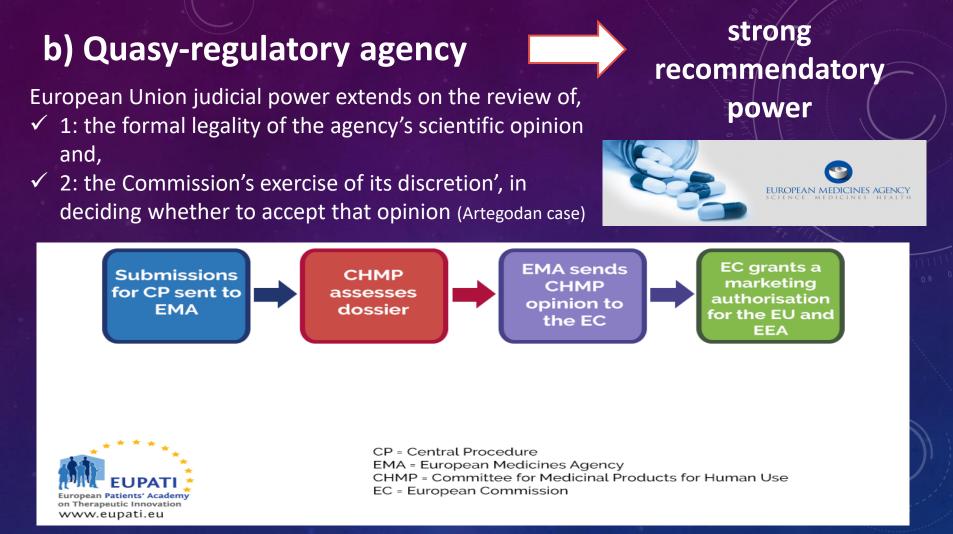
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EUROPEAN UNION

ELLECTUAL PROPERT



The Office accepts the follow



<u>Committee for Medicinal Products for Human Use (CHMP)</u>
 <u>Pharmacovigilance Risk Assessment Committee (PRAC)</u>
 <u>Committee for Medicinal Products for Veterinary Use (CVMP)</u>
 <u>Committee for Orphan Medicinal Products (COMP)</u>
 <u>Committee on Herbal Medicinal Products (HMPC)</u>
 <u>Committee for Advanced Therapies (CAT)</u>
 <u>Paediatric Committee (PDCO)</u>

# c) Information and coordination agencies

**Europol Convention** 

#### principal function

**Central intelligence agency:** The Hague



Direct and immediate on-the-spot support

Emergency Response Team (EMRT)

Live investigation support

Incident response and coordination

The European Union's Judicial Cooperation Unit

> College of Eurojust: The Hague

EURDJUST 👈

Direct cooperation of judges, prosecutors or police officer of Member States

EUROJUST 🛨

Execution of international mutual legal assistance

European arrest warrant

~ extraditions

#### **Decentralised agency**



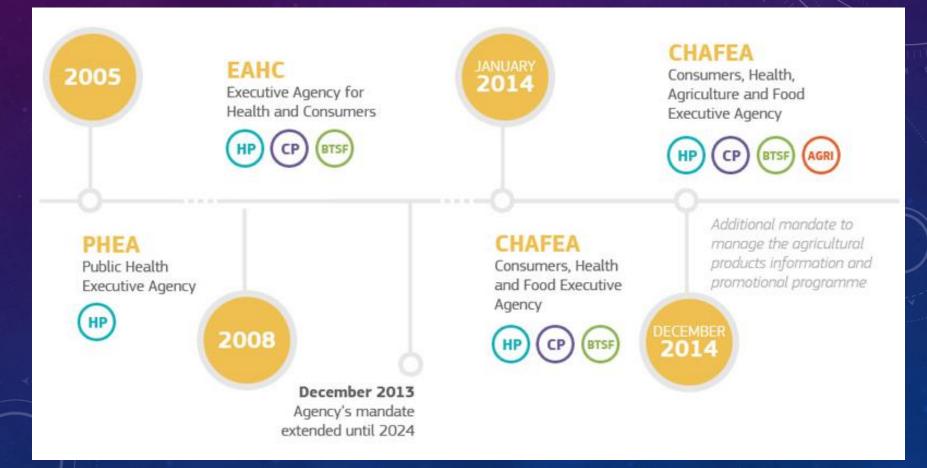
#### Seat: Warsaw

European Agency for the Management of Operational Cooperation at the External Borders of the Member States of the EU

**Frontex**: promotes, coordinates and develops European border management in line with the EU fundamental rights charter applying the concept of Integrated Border Management.

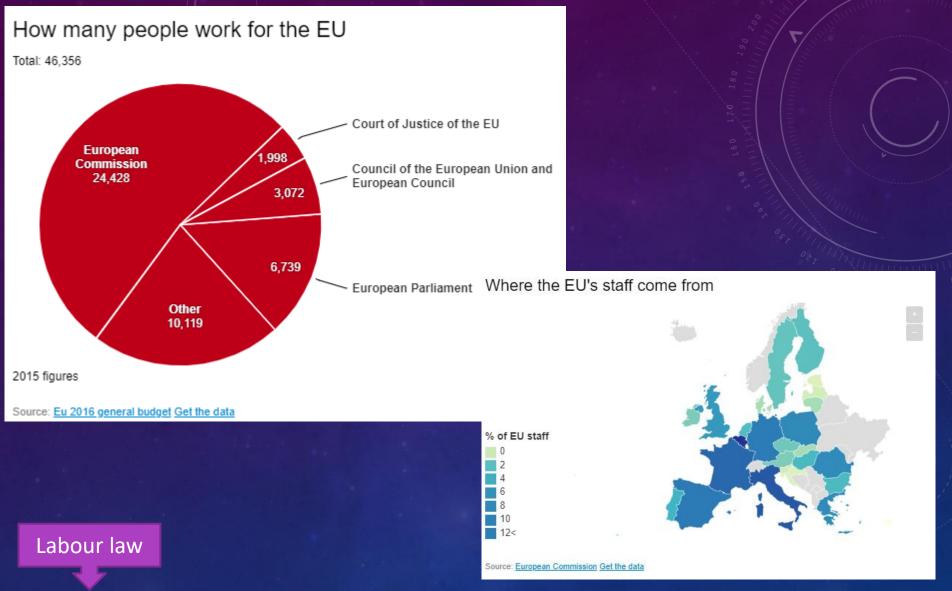


National border authorities: act according to Frontex guidance & execute EU border policy Information and coordination agencies special form: Executive agency - mandate extends until 2024 Consumers, Health, Agriculture and Food Executive Agency (CHAFEA) - Luxemburg



# II. CIVIL SERVICE OF DIRECT ADMINISTRATION





regulation No 31 (EEC), 11 (EAEC), laying down the Staff Regulations of Officials and the Conditions of Employment of Other Servants of the European Economic Community and the European Atomic Energy Community. [1st January 1962]

## STAFF REGULATIONS SHALL APPLY TO OFFICIALS OF THE EU

any person who has been appointed to an established post on the staff of one of the <u>institutions</u> of the EU by an instrument issued by the Appointing Authority of that institution

persons appointed by <u>EU bodies</u> to whom these Staff Regulations apply under the Union acts establishing them ( 'agencies') \*European External Action Service,
\*European Economic and Social Committee,
\*Committee of the Regions,
\*European Ombudsman,

\*European Data Protection Supervisor

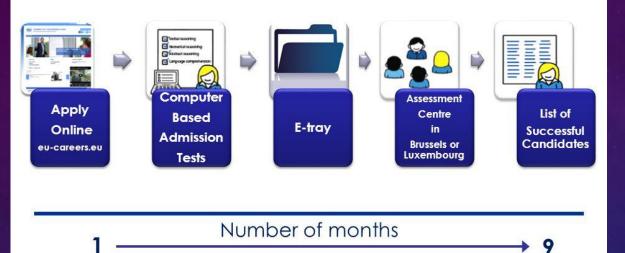
# European Personnel Selection Office (2003-)

 to set competitive examinations for recruiting staff to work in all the EU institution European School of Administration (2005-)

 provide training in specific areas for members of EU staff

### **EPSO's Selection Procedure**

General overview



# Basic recruitment of officials

open competitions published on the EPSO website

Home > Job opportunities > Upcoming opportunities

#### Upcoming opportunities

This information is indicative. EPSO reserves the right to change the information shown at any time, and regularly publishes updates.

Keyword(s)		Type of contract	•	Grade		ion/Agency / -	
Search							
Job title	Grade	Location(s)	Institution/Agency			Type of contract	Open for application
Danish-Language (DA) Lawyer- Linguists	AD 7	Luxembourg (Luxembourg)	Court of Justice			Permanent official	Winter 2019/ 2020 (indicative)
Greek-Language (EL) Lawyer- Linguists	AD 7	Luxembourg (Luxembourg)	Court of Justice			Permanent official	Winter 2019/ 2020 (indicative)
French-Language (FR) Lawyer- Linguists	AD 7	Luxembourg (Luxembourg)	Court of Justice			Permanent official	Winter 2019/ 2020 (indicative)
Croatian-Language (HR) Lawyer-Linguists	AD 7	Luxembourg (Luxembourg)	Court of Justice			Permanent official	Winter 2019/ 2020 (indicative)
Polish-Language (PL) Lawyer- Linguists	AD 7	Luxembourg (Luxembourg)	Court of Justice			Permanent official	Winter 2019/ 2020 (indicative)
Administrators in the field of European Law	AD 5, AD 7		Council of the Euro External Action Ser		pean	Permanent official	Spring 2020 (indicative)



## **Selection procedure**

- computer-based
- comprise *aptitude and ability psychometric exercises*

 Applicant is called to an *assessment centre* where he/she will be expected to complete a number of *work-related exercises* in a group environment and before at least two assessors

•analysis and problem-solving, communicating, delivering quality and results, learning and development, prioritising and organising, resilience, working with others and in the case of graduates, leadership

*reserve list* from which the institutions draw recruits as and when they need them (1 year)



# Secretaries/Clerk (AST/SC)

Interim stan
Interim consultants
Parliamentary Assistants
Freelance linguists
Junior Professionals in Delegation
EU experts
Maintenance and canteen staff

#### Administrators (AD)

Assistants (AST)

# PERMANENT OFFICIALS

Туре	Activity	Salary grade	Minimum qualification
administrator (AD)	engaged in drafting policies and implementing EU law, analysing and advising	AD 5-AD 16 (12 grades) up to €16,000	University degree
assistant (AST)	employed in an executive and technical role	AST 1 to AST 11 (11 grades) From €4 500	Completed secondary education
assistant- secretaries /secretaries and clerks (AST/SC)	office management and administrative support role	AST/SC1 to AST/SC6 (6 grades) from €2 300	completed secondary education

#### 1. Function group AD

Director-General	AD 15 - AD 16
Director	AD 14 - AD 15
Adviser or equivalent	AD 13- AD 14
Head of unit or equivalent	AD 9 - AD 14
Administrator	AD 5 - AD 12

2. Function group AST

Senior assistant	AST 10 - AST 11
Carrying out administrative, technical or training activities requiring a high degree of autonomy and carrying significant responsibilities in terms of staff management, budget implementation or political coordination	
Assistant	AST 1 – AST 9
Carrying out administrative, technical or training activities requiring a certain degree of autonomy, in particular with regard to the implementation of rules and regulations or general instructions or as personal assistant of a Member of the institution, of the Head of a Member's private office or of a (Deputy) Director-General or an equivalent senior manager	

Basic monthly salaries are for each grade and step in function groups AD and AST as provided in the following table:

1.7.2010			STEP		
GRADE	1	2	3	4	5
16	16 919,04	17 630,00	18 370,84		
15	14 953,61	15 581,98	16 236,75	16 688,49	16 919,04
14	13 216,49	13 771,87	14 350,58	14 749,83	14 953,61
13	11 681,17	12 172,03	12 683,51	13 036,39	13 216,49
12	10 324,20	10 758,04	11 210,11	11 521,99	11 681,17
11	9 124,87	9 508,31	9 907,86	10 183,52	10 324,20
10	8 064,86	8 403,76	8 756,90	9 000,53	9 124,87
9	7 127,99	7 427,52	7 739,63	7 954,96	8 064,86
8	6 299,95	6 564,69	6 840,54	7 030,86	7 127,99
7	5 568,11	5 802,09	6 045,90	6 214,10	6 299,95
6	4 921,28	5 128,07	5 343,56	5 492,23	5 568,11
					 V
5	4 349,59	4 532,36	4 722,82	4 854,21	4 921,28
4	3 844,31	4 005,85	4 174,18	4 290,31	4 349,59
3	3 397,73	3 540,50	3 689,28	3 791,92	3 844,31
2	3 003,02	3 129,21	3 260,71	3 351,42	3 397,73
1	2 654,17	2 765,70	2 881,92	2 962,10	3 003,02

#### 3. Function group AST/SC

#### Secretary/Clerk

SC 1 - SC 6

Carrying out clerical and secretarial tasks, office management and other equivalent tasks requiring a certain degree of autonomy (\*)

(\*) The number of posts of Parliamentary ushers in the European Parliament shall not exceed 85.



Basic monthly salaries are for each grade and step in function group AST/SC as provided in the following table:

	Step				
Grade	1	2	3	4	5
SC 6	4 349,59	4 532,36	4 722,82	4 854,21	4 921,28
SC 5	3 844,31	4 005,85	4 174,78	4 290,31	4 349,59
SC 4	3 397,73	3 540,50	3 689,28	3 791,92	3 844,31
SC 3	3 003,02	3 129,21	3 260,71	3 351,42	3 397,73
SC 2	2 654,17	2 765,70	2 881,92	2 962,10	3 003,02
SC 1	2 345,84	2 444,41	2 547,14	2 617,99	2 654,17

## **Benefits of officials**

**expatriation allowance** equivalent to 16% of your basic salary

### family-related allowances

directly subject to **a Community tax** (between 8% and 45% )

#### retirement pension

Officials with 20 or more years' service on 1 May 2004 shall become entitled to a retirement pension when they reach the age of **60**.

- Officials aged 35 years or more on 1 May 2014 and who entered the service before 1 January 2014 shall become entitled to a retirement pension at the age between 62 years 6 months and 64 years 8 months, depending on their age on 1 May 2014.
- Officials aged less than 35 years on 1 May 2014 shall become entitled to a retirement pension at the age of 65 years.
- Officials aged 45 years or more on 1 May 2014 who entered the service between 1 May 2004 and 31 December 2013, the pensionable age shall remain 63 years.

## Other types of employment

Position	Activity			
Contract staff (6-12 months)	I. manual and administrative support-service tasks	▶ 1-3		
	<ul> <li>II. clerical or secretarial tasks, office management and other equivalent tasks</li> </ul>	▶ 4-7		
€1 847,76 to 6 599,06.	III. executive tasks, drafting, accountancy and other equivalent technical tasks	≻ 8-12		
	IV. administrative, advisory, linguistic and equivalent technical tasks	▶ 13-18		
Temporary staff	highly specialised or temporary tasks 3 months -5/6 years			
Trainees	<ul> <li>young university graduates, each lasting 3-5 months</li> <li>have completed their first university degree, and so receive tasks similar to those of the lower AD grade officials</li> </ul>			
Seconded national experts (SNEs)	national or international civil servants or persons employed in the public sector who are working temporarily for an EU Institution			

## **Special categories of employment**

Туре	Feature
Interim staff	<ul> <li>6 months</li> <li>on a temporary basis, mainly for secretarial work, on short term contracts through temping agencies</li> </ul>
Interim consultants	directly through tendering procedures
Parliamentary Assistants	The EP and the Political Groups have their own recruitment procedures
Freelance linguists	<ul> <li>translators and interpreters</li> <li>The Commission, the Court of Justice and the EP have their own calls</li> </ul>
Junior Professionals in Delegation	<ul> <li>up to 18 months</li> <li>talented and promising university post-graduates</li> </ul>
EU experts	<ul><li>upon self-registration</li><li>experts' database</li></ul>
Maintenance and canteen staff	<ul><li>via external contracting companies</li><li>through open tender procedures.</li></ul>

PROCEDURAL LAW OF DIRECT ADMINISTRATION Definition of administrative procedure and administrative procedural law

### administrative procedure

•is the formal path, established in legislation, which an administrative action should follow

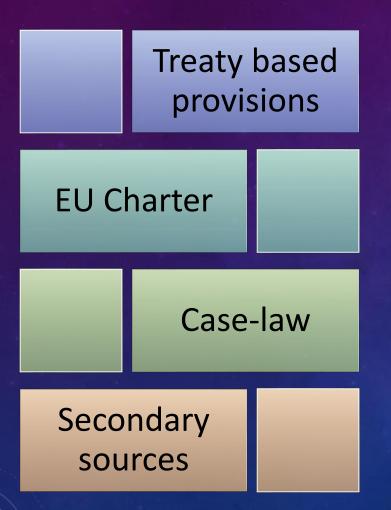
Guarantee of individual rights

# administrative procedural law

• legal norm that governs the administrative procedure

Efficiency and order in the protection of the public interest (transparency)

## Legal framework of the administrative procedural law of direct level of the EU



The institutions, bodies, offices and agencies of the EU shall have the support of an open, efficient and independent European administration

The European Parliament, the Council and the Commission shall consult each other and by common agreement make arrangements for their cooperation. (TFEU 298) Charter of fundamental rights

 $\bigcirc$ 

Rights for citizens of the EU Procedural rights of administration

Obligation for officials of the EU 2001

## **RIGHT TO GOOD ADMINISTRATION**

ARTICLE 41 OF THE CHARTER OF FUNDAMENTAL RIGHTS OF THE EUROPEAN UNION

Every **person** has the right to have his or her affairs handled impartially, fairly and within a reasonable time by the institutions, bodies, offices and agencies of the Union. This right includes:

a. the <u>right of every</u> <u>person to be heard</u>, before any individual measure which would affect him or her adversely is taken b. the right of every person to have <u>access to his or her</u> <u>file</u>, while respecting the legitimate interests of confidentiality and of professional and business secrecy

c. the obligation of the administration to give <u>reasons for its</u> <u>decisions</u>

2009

Right to effective remedy (Article 47 of EU Charter)

(3) Every person has the right to have the Union <u>make good any damage caused by its</u> <u>institutions</u> or by its servants in the performance of their duties, in accordance with the general principles common to the laws of the Member States. [Also guaranteed by Article 340 TFEU]

> (4) Every person may <u>write to the</u> <u>institutions</u> of the Union in one of the languages of the Treaties and <u>must have an answer</u> in the same language. [Also guaranteed by Article 20(2)(d) and Article 25 TFEU]

### The right to access to documents Articles 42 of the Charter and 15 of the TFEU

Any citizen of the Union, and any natural or legal person residing or having its registered office in a Member State, has a right of **access to documents** of the institutions, bodies, offices and agencies of the Union, whatever their medium.

Regulation (EC) No 1049/2001 of the European Parliament and of the Council of 30 May 2001 regarding **public access to European Parliament, Council and Commission documents** 

<u>all agencies</u> of the Union should apply these principles



register of documents open to the public and to give direct access to it in electronic form easiest possible exercise of this right are accompanied by detailed procedural rules on how to get access to documents

Shorter time limits (15 days)



Asked the European Medicines Agency (EMA) for access to documents containing details of all suspected serious adverse reactions relating to an anti-acne drug

refused his request, arguing that the EU rules on access to documents did not apply to reports concerning suspected serious adverse reactions to drugs After investigating the complaint, the Ombudsman concluded that the **EU rules on access to documents apply** to all documents held by the EMA

# Right to protection of personal data (Art. 16 TFEU)

The right to access to documents

 processing of personal data must be done <u>fairly and lawfully</u>
 Collection of data must be for <u>specified, explicit and legitimate</u> <u>purposes</u> and in a way that is not excessive in relation to the purposes for which they are collected. origin of the right to protection of personal data in secondary legislation and not in the ECJ case-law

General Data Protection Regulation, adopted in April 2016, will supersede the Data Protection Directive and will be enforceable starting on 25 May 2018

the right to protection of personal data does not apply only to EU Administration, but also to Member States when they implement EU law The European Ombudsman's opinion on procedural rights

# Public service principles for the EU civil service 2012

**Commitment** to the EU and its citizens • exist in order to serve the interests of the EU and of its citizens

Integrity

**Objectivity** 

Respect

Transparency

 Civil servants should be guided by a sense of propriety and conduct themselves at all times in a manner that would bear the closest public scrutiny.

 Civil servants should be impartial, open -minded, guided by evidence, and willing to hear different viewpoints

 Civil servants should act respectfully to each other and to citizens

 Civil servants should be willing to explain their activities and to give reasons for their actions

### The European Code of Good Administrative Behaviour (approved by EP in 2001)

- ✓ Lawfulness
- ✓ Absence of discrimination
- ✓ Proportionality
- ✓ Absence of abuse of power
- Impartiality and independence
- ✓ Objectivity
- ✓ Legitimate expectations, consistency, and advice
- ✓ Fairness
- ✓ Courtesy
- ✓ Reply to letters in the language of the citizen
- ✓ Acknowledgement of receipt and indication of the competent official

- ✓ Obligation to transfer to the competent service of the institution
- ✓ Right to be heard and to make statements
- ✓ Reasonable time-limit for taking decisions
- ✓ Duty to state the grounds of decisions
- ✓ Indication of appeal possibilities
- ✓ Data protection
- ✓ Requests for information
- ✓ Requests for public access to documents
- ✓ Right to complain to the European Ombudsman

## The EP's requirements and its constant efforts for a code

Since the 2013 Berlinguer Report

de minimis rule where no lex specialis exists

# Principles of administrative procedures

- Principle of lawfulness
- Principle of non-discrimination and equal treatment
  - Principle of proportionality
    - Principle of impartiality
  - Principle of consistency and legitimate expectations
  - Principle of respect for privacy
    - Principle of fairness
    - Principle of transparency
- Principle of efficiency and service

# Recommendation on the rules governing administrative decisions

- Procedure: by own initiative or at the request of an interested party.
- requests for individual decisions shall be acknowledged in writing
  - possibility to correct a defective request
  - financial interest and any conflict of interest shall be eliminated
- the rights of the defence must be respected at every stage of the procedure
- an interested party shall be granted full access to his or her file.
  - decisions shall be taken within a reasonable time-limit
- decisions in writing and shall be worded in a clear, simple and understandable manner.
  - clearly state the reasons
    - notification in writing
  - legal remedy & possibility of correction
- a clear easily understandable regulation available for public

(web)

## **Public consultation**

open from 15.12.2017 to 09.03.2018

aiming to obtain a better understanding of the interactions of businesses and citizens with the El institutions;

provide a basis to evaluate the implementation of existing EU rules

identify possible shortcomings

	European Parlia Committees	ment			
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	EU Administrative Law Robotics				
	EU Administrative La	w		S Committee activities	
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				Facebook	
	Findings and results of the public consultation on EU Administrative Law				
	In response to the consultation, the	Parliament received 166 fully	completed online responses fror	om 20 EU	pok
	Member States. 155 contributions of The individual contributions are put their contributions to be made avail The Summary Report provides an trends emerging from the consultat	blished below with the exception lable to the public. overview of the contributions se	n of 44 respondents who did not		
	The Summary Report translated into all EU official languages will be available on this web page during summer 2018. A detailed report on the results of the public consultation will be published during the summer of 2018. We wish to thank all the people who have responded to this survey.				
	The synopsis report				
	Private individual contributions				
	Anonymous private individual contr	ibutions			
	Organisation or company contributi	ons			
	Anonymous organisation or compa	ny contributions		<b>A</b>	

Most responders supported additional measures at EU level to simplify EU administrative rules. Operational incoherence and the administrative burden costs were identified as the most problematic issues.

https://www.europarl.europa.eu/committees/e n/juri/eu-administrative-law.html?tab=Results

## Academics on procedural rules: ReNEUAL Model Rules



Research Network on EU Administrative Law draft proposal for binding legislation -Work of academics

### Structure of ReNEUAL

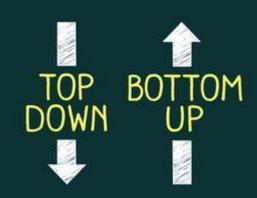
Book I	Introduction to the ReNEUAL Model Rules /- General Provisions		
Book II	Administrative Rule-Making	procedures are those conducted	
Book III	Single Case Decision-Making	by EU institutions, bodies, offices and agencies	
Book IV	Contracts	U	
Book V	Mutual Assistance	designed to be applicable <b>also</b> to	
Book VI	Administrative Information Management	implementation activity by Member States	

Administrative procedures of direct level

Direct authority procedure in individual cases

Comitology

Composite procedures



## Thank you very much for your kind attention!

Next chapter: Indirect administration of the EU

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